Docket No.: R2184.0271/P271 (PATENT)

O 1 P & 2 6 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of: Takashi Takahashi et al.

Application No.: 10/716,455

Confirmation No.:

Filed: November 20, 2003

Art Unit: 2811

For: SEMICONDUCTOR LIGHT EMITTER

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A summary/abstract translation of the non-English language references is enclosed.

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other Application No.: 10/716,455 Docket No.: R2184.0271/P271

material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. R2184.0271/P271. A duplicate copy of this paper is enclosed.

Dated: March 26, 2004

Respectfully submitted,

Mark J. Thronson

Registration No.: 33,082

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorney for Applicant

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449A/B/PTO | | | | Complete if Known | | |
|-----------------------------------|-------------------------------|----|-----------|------------------------|------------------------|--|
| Sub | solute for form 1440700m | • | | Application Number | 10/716,455-Conf. #6664 | |
| INFORMATION DISCLOSURE | | | | Filing Date | November 20, 2003 | |
| STATEMENT BY APPLICANT | | | APPLICANT | First Named Inventor | Takashi Takahashi | |
| _ | 5 77.1 2 2 2 7 1 2 3 1 | | | Art Unit | 2811 | |
| (Use as many sheets as necessary) | | | | Examiner Name | Not Yet Assigned | |
| Sheet | 1 | of | 2 | Attorney Docket Number | R2184 0271/P271 | |

| Examiner Initials* | Cite No.1 | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
|-----------------------|--------------|--|--------------------------------|--|---|--|--|
| | | | | | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|-----------|--------------------------|---|--------------------|-----------------------------|---|--|--|--|--|
| Examiner | Cite No.1 | Foreign Patent Document | Publication | Name of Patentee or | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | | | |
| Initials* | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | Date MM-DD-YYYY | Applicant of Cited Document | | | | | |
| | ВА | JP 8-195522 | 07-30-1996 | | | | | | |
| | BB | JP 6-37355 | 02-10-1994 | | | | | | |
| | ВС | JP 2000-332363 | 11-30-2000 | | | | | | |
| | BD | JP 2002-118329 | 04-19-2002 | | | | | | |
| | BE | JP 10-126004 | 05-15-1998 | | | | | | |
| | BF | JP 10-145003 | 05-29-1998 | | | | | | |
| | BG | JP 2001-251021 | 09-14-2001 | | | | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\)Applicant's unique citation designation number (optional). \(^2\)See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\)Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\)For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\)Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\)Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS | | | | | | |
|---------------------------------|--------------|---|----|--|--|--|
| Examiner Initials | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² | | | |
| | CA | Uesugi et al., "MOMBE Growth and Characterization of GaAsN/GaAsSb Superlattice Structure," The 62nd Japan Society of Applied Physics, 13p-T-13. | | | | |
| | СВ | Tateno et al., "Refractive Index of GaAsNSb Layers Grown on GaAs Substrates by MOCVD" The 62nd Japan Society of Applied Physics, 13p-T-12. | | | | |
| | СС | Gambin et al, "Long Wavelength GalnNAsSb/GaNAsSb Multiple Quantum Well Lasers " Electronics Letters 14th March 2002, Vol. 38, No. 6, pp. 277-278. | | | | |
| | CD | Koyama et al. "Data Transmission Over Single-Mode Fiber by Using 1.2-μm Uncooled GalnAs-GaAs Laser for Gb/S Local Area Network" IEEE Photonics Technology Letters, Vol. 12, No.2, pp. 125-127, February 2000. | | | | |
| | CE | Shunichi Sato, "Low Threshold and High Characteristic Temperature 1.3 µm Range GalnNAs Lasers Grown by Metalorganic Chemical Vapor Deposition" Japan J. Appl. Phys., Vol. 39, 05, Part 1, No. 6A, pp. 3403-3405(June 2000). | | | | |
| | CF | Kondow et al., "GalnNAs: A Novel Material for Long-Wavelength-Range Laser Diodes with Excellent High-Temperature Performance" Japan J. Appl. Phys., Vol.35, Part 1, No. 2B, pp. 1273-1275 (February 1996) | | | | |
| | CG | Gouardes et al., "GalnAs-GalnNAs-GalnAs Intermediate Layer Structure for Long Wavelength Lasers" IEEE Photonics Technology Letters, Vol. 14, No. 7, pp.896-898, (July 2002). | | | | |
| | СН | Peng et al., "Suppression of Interfacial Atomic Diffusion in InGaNAs/GaAs Heterostructures Grown by Molecular-beam Epitaxy" Applied Physics Letters, Vol. 80, No. 25, pp. 4720-4722, (24 June 2002). | | | | |
| | CI | Li et al., "Effects of Rapid Thermal Annealing on Strain-Compensated GalnNAs/GaAsP | | | | |



PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE spond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449A/B/PTO | | | | Complete if Known | | |
|---------------------------------|-----------------|---------|------------|------------------------|------------------------|--|
| | | | | Application Number | 10/716,455-Conf. #6664 | |
| 11 | NFORMATION | 1 DI | SCLOSURE | Filing Date | November 20, 2003 | |
| STATEMENT BY APPLICANT | | | APPLICANT | First Named Inventor | Takashi Takahashi | |
| | | | | Art Unit | 2811 | |
| | (Use as many sh | eets as | necessary) | Examiner Name | Not Yet Assigned | |
| Sheet | 2 | of | 2 | Attorney Docket Number | R2184.0271/P271 | |

| Quantum Well Structures and Lasers" Applied Physics Letters, Vol. 78, No.1, (1 Jan. 2001). | , 1 | , |
|--|-----|---|
| | | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.